



# KAUSHAL INVESTMENTS LIMITED

"JAJODIA TOWER", 4th Floor, Room No. D-8, 3, Bentinck Street, Kolkata - 700 001

Phone : 2248-5664, E-mail : info@kaushalinvest.com, Website : www.kaushalinvest.com

CIN : L65993WB1981PLC033363

Date: 16/04/2021

To,  
The Secretary,  
The Calcutta Stock Exchange Limited,  
7, Lyons Range,  
Kolkata - 700001

Dear Sir/ Madam,

**Sub: Compliance Certificate under Regulation 40(9) for the Half-Year Ended 31<sup>st</sup> March, 2021.**

Pursuant to regulation 40(9) of SEBI (LODR) regulation 2015, please find enclosed herewith Compliance Certificate issued by Mr. Rajesh Ghorawat, Practicing Company Secretary, stating that the RTA recorded/affected requests for the shares of the company within the stipulated time during the half year ended on 31<sup>st</sup> March, 2021.

This is for your information and records.

Thanking you,

Yours faithfully,

For Kaushal Investment Ltd

*Radhika Maheshwari*  
Radhika Maheshwari  
Company Secretary & Compliance officer

Encl: As above

**RAJESH GHORAWAT**  
**PRACTISING COMPANY SECRETARY**

68, R.K.CHATTERJEE ROAD,  
KASBA BAKULTALA, 3<sup>RD</sup>FLOOR,  
KOLKATA-700042  
MOBILE- 9831189994  
Email Id-rgadvisory18@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED MARCH 31, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Kaushal Investments Ltd having Registered Office at 3, Bentinck Street, 4<sup>th</sup> Floor, Room No. D-8, Kolkata-700001 as maintained by RTA, Niche Technologies Pvt. Ltd. pertaining to transfer of equity shares of the company for the period from 01/10/2020 to 31/03/2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by Kaushal Investments Ltd with the Stock Exchange i.e., The Calcutta Stock Exchange Ltd and based on the information provided by the Company, I hereby certify that:

- A) During the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 there were no requests received for registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.
- B) Any other information, if applicable - Nil

Rajesh Ghorawat  


**Rajesh Ghorawat**  
**Practising Company Secretary**  
M.No. F7226  
CP No. 20897

Place: Kolkata  
Date: 16<sup>th</sup> April, 2021

UDIN: F007226C000106743